

# Transfer Form

**New Direction IRA, Inc.**  
1070 W. Century Dr., Ste. 101  
Louisville, CO 80027  
p: 303-546-7930 | f: 303-665-5962

## 1. YOUR INFORMATION

Your Name:		New Direction Account Number:	
Social Security Number:	Email Address:		Phone Number:
Legal Address:	City:	State:	Zip:

## 2. TRANSFER INFORMATION (Where are these assets currently?)

Name of Custodian/Trustee:		Account Number:	
Office Address:	City:	State:	Zip:
Contact Name:	Phone Number:	Fax Number:	<input type="checkbox"/> I have attached a copy of my current statement. <b>(Required)</b>

## 3. ACCOUNT TYPES

I am transferring funds **from:**

☐ Traditional IRA ☐ Roth IRA ☐ SEP IRA ☐ SIMPLE IRA ☐ Beneficiary IRA ☐ HSA ☐ Coverdell Savings Account

I am transferring funds **to:**

☐ Traditional IRA ☐ Roth IRA ☐ SEP IRA ☐ SIMPLE IRA ☐ Beneficiary IRA ☐ HSA ☐ Coverdell Savings Account

**NOTE:** If this is a transfer from a non-ROTH account to a ROTH IRA, it will be reported as a ROTH conversion deposit.

## 4. TYPE OF ASSET TO BE TRANSFERRED

<input type="checkbox"/> Complete Transfer. <input type="checkbox"/> <b>Cash*</b> - Liquidate asset(s) and transfer cash to <b><u>New Direction IRA, Inc. FBO (My Name) IRA.</u></b> <input type="checkbox"/> <b>In-Kind Transfer</b> - Transfer asset(s) in-kind to <b><u>New Direction IRA, Inc. FBO (My Name) IRA.</u></b> Transfer the following asset(s) in-kind: (asset description) _____ _____ _____
<input type="checkbox"/> Partial Transfer. <input type="checkbox"/> <b>Cash*</b> - Liquidate and send \$_____ in cash to <b><u>New Direction IRA, Inc. FBO (My Name) IRA.</u></b> <input type="checkbox"/> <b>In-Kind Transfer</b> - Transfer asset(s) in-kind to <b><u>New Direction IRA, Inc. FBO (My Name) IRA.</u></b> Transfer the following asset(s) in-kind: (asset description) _____ _____ _____

\*New Direction IRA requires 5 business days for checks to clear. Bank wires and money orders clear the business day after deposit.

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## 5. DELIVERY INSTRUCTIONS

I would like my funds sent to New Direction IRA via:

☐ Check/Mail ☐ Wire

Please send this transfer form to the resigning custodian via:

☐ Mail ☐ Express Delivery (\$30 fee. Please select a payment option below.)

☐ Fax Number: \_\_\_\_\_ (You must ask your current custodian if they accept a faxed copy of the transfer form and you will need to provide fax number)

I would like to pay my express delivery charges via: **THE INFORMATION BELOW IS TO PAY FOR FEDEX CHARGES, NOT WIRE FEES**

Choose One:

☐ Credit Card

☐ Check Enclosed (make checks payable to: New Direction IRA)

Credit Card Type: (the following are accepted) ☐ Visa ☐ MasterCard ☐ Discover (For Express Delivery Charges ONLY)

Card Number: \_\_\_\_\_ 3 Digit Security Code: \_\_\_\_\_ Exp Date: \_\_\_\_\_

Exact Name on Card: \_\_\_\_\_ Signature: \_\_\_\_\_

## 6. SIGNATURE AND ACKNOWLEDGEMENT

Signature and Acknowledgement (This does not constitute a direct rollover.)

- I hereby agree to the terms and conditions set forth in this account asset transfer authorization and acknowledge having established a self-directed IRA through execution of the account application.
- I understand the rules and conditions applicable to an account transfer.
- I qualify for the account transfer of assets listed in the asset liquidation above and authorize such transactions.
- I understand that no one at New Direction IRA has authority to agree to anything different than my foregoing understandings of New Direction IRA policy.
- I understand that if this transfer is occurring during or after the calendar year during which I attain the age of 70½, the required minimum amount determined under this IRA is still required to be distributed.
- I further understand that the current Trustee/Custodian is not responsible for making this distribution prior to the transfer. I accept full responsibility for satisfying the required minimum distribution applicable to this IRA by withdrawing sufficient amounts from another IRA prior to the deadline for receiving minimum distributions for the calendar year of the transfer.
- If this transfer leaves the transfer or IRA in one year but does not reach the transferee IRA until the following year, I understand that this will be an "outstanding transfer" as of December 31st. The new IRA must "deem" that the transfer was received as of the prior December 31st for determining any required minimum distribution from the transferee IRA for the year that the transfer was received. I will inform the transferee IRA Trustee/Custodian of any such outstanding transfer.

Your Signature: \_\_\_\_\_

Date: \_\_\_\_\_

## 7. ACCEPTANCE OF RECEIVING CUSTODIAN (office use only)

Pursuant to a limited written delegation, First Trust Company of Onaga, as Custodian ("Custodian"), has authorized New Direction IRA, Inc., to sign this form on the Custodian's behalf to verify the Custodian's acceptance of the transfer described above and agreement to apply the proceeds upon their receipt, to the account established by New Direction IRA, Inc., on your behalf. First Trust Company of Onaga ASSUMES NO TRUST OR FIDUCIARY OBLIGATIONS TO YOU AS IT HAS NO INVESTMENT CONTROL OVER YOUR FUNDS AND ACTS ONLY AS A CUSTODIAN OF YOUR FUNDS.

New Direction IRA, Inc. on behalf of Custodian, First Trust Company of Onaga

By: \_\_\_\_\_ Date: \_\_\_\_\_ Account #: \_\_\_\_\_